

Edward H. Glenn Jr.



Edward H. Glenn Jr. has been with Zamansky LLC since its formation in 1998. His practice involves representing institutional and **individual investors** who are plaintiffs in securities fraud, derivative and ERISA class actions, and customers in **FINRA arbitrations**. He has handled many of the firms' notable class action and **securities arbitration cases**.

Securities Litigation and Class Actions

Mr. Glenn has a prominent role at the firm in the securities class action and **litigation cases** and has worked on the following: *In re HP ERISA Litigation*, No. 12-CV-6199 (CRB) (N.D. Cal.), on behalf of 157,000 employees of Hewlett-Packard Company invested in \$560 million of company stock for damages relating to the failed \$11.7 billion Autonomy acquisition; *In re JPMorgan Chase & Co. ERISA Litigation*, No. 12-CV-4027 (GBD) (S.D.N.Y.), on behalf of 271,000 employees of JPMorgan Chase invested in \$2.2 billion of company stock for damages relating to the "London Whale" trading scandal; *In re Proshares Trust Securities Litigation*, No. 09-CV-6935 (JGK) (S.D.N.Y.), on behalf of investors in over 30 ProShares ETFs; *Brecher et al. v. Citigroup, Inc. et al.*, No. 09-CV-7359 (SDS) (S.D.N.Y.) on behalf of Citigroup employees; *Grund et al. v. Principal Financial Group*, No. 09-CV-8025 (RWS) (S.D.N.Y.), against the custodian of plaintiffs' IRA and pension accounts the assets of which were stolen in the James Nicholson / Westgate fraud; *Kronberg v. David Lerner Associates, Inc.*, No. 1:11-CV-05546 (KAM) (E.D.N.Y.), on behalf of investors who were defrauded by the issuers and sellers of Apple REITs; and *Peters v. JinkoSolar Holding Co., Ltd.*, 11-CV-7133 (JPO) (S.D.N.Y.), on behalf of investors who suffered losses as a result of this Chinese solar manufacturer's fraud and the underwriters' failures.

Mr. Glenn also works on *AHW Investment Partnership, et al. v. Citigroup, Inc. et al.*, 10-CV-9646 (S.D.N.Y.), a \$900 million fraud, misrepresentation and material omission "holders" claim brought by a large investor.

Securities Arbitrations

Mr. Glenn provides legal advice and representation to investors in securities arbitrations before FINRA and the American Arbitration Association involving all

manner of sales practice disputes. He has also represented securities industry professionals in employment disputes. He has tried approximately 20 cases at hearing, second-chaired 20 cases and handled over 300 cases from inception to disposition.

He has experience litigating securities issues involving: unsuitable investments; fraudulent misrepresentation and omission; churning; call/put options; bonds; mutual funds; variable and fixed annuities contracts; OTC and structured derivatives; CDOs; employee stock options; hedge funds/private placements; insurance products; “best execution” failures; failure to hedge; on-line trading; market manipulation; margin practices; “selling away” violations; investment advisor liability; stock analyst liability; **stock broker fraud**; “blue sky” and registration laws; restricted stock; clearing broker liability; hedge fund fraud; Sarbanes-Oxley “whistleblower” claims; defamation; wrongful termination; contract negotiation; contract disputes; unpaid compensation/bonuses; Form U-5 defamation; sexual harassment; racial, gender, age or other discrimination; “raiding”/unfair competition; mass or group cases; and clients who reside outside of the United States.

Significant cases:

Kanjilal v. Merrill Lynch and Henry Blodget (NYSE No. 2001-8941)—settlement with Merrill Lynch led to the NYS Attorney General’s and SEC’s investigation of the Wall Street Stock Analysts’ Conflicts of Interest.

Acciardo v. Millenium Securities, reported at 83 F. Supp. 2d 413 (S.D.N.Y. 2000) — the Southern District confirmed a \$100,000 punitive damage award on a Form U-5 defamation claim. Until 2007, this case was the leading plaintiff’s decision in New York.

Ryan Beck v. Fakih, et al., reported at 268 F. Supp. 2d 210 (E.D.N.Y. 2003) — holding successor brokerage firm which disclaimed any assumption of liabilities is nonetheless obligated to arbitrate with predecessor’s customer.

Pellegrini & Loeffler v. Pershing LLC and Correspondent Services Corp. (NASD No. 2004-7179)—NASD arbitration against clearing firms of notorious criminal investment advisor, Todd Eberhard of Park South Securities. This case has been featured on CNBC, Fortune and Crane’s.

Education

Mr. Glenn is a graduate of Colgate University in 1988, where he received a B.A. in Economics. He is also a graduate of the Syracuse University College of Law in 1993, where he received a J.D. He was a Staff Editor for the *Syracuse Journal of International Law and Commerce*, repeated Dean’s List member and Quarterfinalist in the annual Grossman Mock Trial Contest.

Bar Admissions

Mr. Glenn was admitted to the New York State Bar in February 1994. He is also a member of the Bars of the United States District Courts for the Southern and Eastern Districts of New York and the District Court of Colorado, and has been admitted *pro hac vice* in District Courts in California and Illinois.

Prior to joining Zamansky LLC, Mr. Glenn worked at a small commercial litigation practice in Great Neck, New York. His practice consisted of individual and small business representation in federal and state courts in New York.

Mr. Glenn has passed Level 1 of the Chartered Financial Analyst exam.

He was also mentioned in *Blood On The Street: The Sensational Inside Story of How Wall Street Analysts Duped A Generation of Investors*, by Charles Gasparino (Free Press 2005).